



London TDM

Legal and Contracts Management Training Courses

Course Venue: Malaysia - Kuala Lumpur

Course Date: From 02 November 2025 To 06 November 2025

Course Place: Royale Chulan Hotel

Course Fees: 6,000 USD

Introduction

This five-day professional course on Regulatory Compliance and Due Diligence aims to equip participants with the essential knowledge and skills required to navigate regulatory environments effectively and conduct comprehensive due diligence. Attendees will learn about the principles and practices necessary to ensure conformity with legal standards and make informed business decisions.

Objectives

- Understand the key concepts of regulatory compliance and due diligence.
- Identify regulatory requirements across various industries.
- Learn how to establish and maintain a compliance program.
- Analyze risk management strategies effectively.
- Conduct thorough due diligence for business operations.

Course Outlines

Day 1: Introduction to Regulatory Compliance

- Definition and scope of regulatory compliance
- Importance of compliance in business operations
- Overview of global regulatory frameworks
- The role of compliance officers and their responsibilities
- Case studies of compliance failures

Day 2: Regulatory Frameworks and Standards

- Understanding regional and international regulatory bodies
- Industry-specific compliance regulations
- Implementation of compliance standards
- Impact of non-compliance and legal ramifications
- Tools for staying updated with changes in regulations

Day 3: Building and Maintaining a Compliance Program

- Steps to developing a compliance program
- Creating compliance policies and procedures
- Training and communication strategies
- Monitoring and auditing for compliance
- Handling compliance failures and corrective actions

Day 4: Due Diligence Process and Best Practices

- Definition and purpose of due diligence
- Types of due diligence: financial, legal, operational
- Steps in the due diligence process
- Best practices in conducting due diligence
- Using due diligence findings in decision-making

Day 5: Risk Management and Compliance

- Identification and assessment of compliance risks
- Developing risk management strategies
- Integrating risk management with compliance programs
- Case studies on effective risk management
- Future trends in regulatory compliance and risk management